



POLICY AND PROCEDURE FOR APPOINTMENT OF AUTHORISED AND OPENING BRANCHES

Policy reviewed on 04/04/2023: - By AJAY GANGWAL (Designated Director)

Opening a Branch and appointment of Authorized Person shall approve by the Management after finalizing the terms and conditions with the prospective Authorized Person and Branch, for development of business and introducing clients.

Under the new scheme for appointment of Authorized Persons of MCX Authorized Persons

Shall be appointed in accordance with the rules prescribed by MCX

The selected Authorized Person will sign prescribed application form and execute an agreement as per prescribed format. The Authorized Person shall be required to sign as introducer in all the account opening forms of the clients introduced by him.

If open a branch then a branch Manager will be appointed by management. All rules and regulation as per Sebi and Exchanges shall be followed by Branch Manager.

Branch / Authorized Person shall ensure compliance with all rules / regulations of various Exchanges and strict adherence with PMLA guidelines.

The Company will conduct periodically audit as per Sebi and exchange guidelines.

All details about Authorized Person and Branches will be published on website like address, Contact Person, contact number and email ids.

Closure Of Branch and Authorized Person: If any Branch is closed or Authorized Person surrender his member, we inform our all client via email.

For: - Siddhartha Commodities Pvt. Ltd.

For Siddhartha Commodities Pvt. Ltd.

Director

Ajay Gangwal (Director)